

Guardian Directed Premium Yield ETF

Seeks to build prosperity through higher income and enhanced capital preservation

Investors are finding it increasingly difficult to meet their income and total return needs through the use of traditional investments and asset allocation practices. As average lifespans increase, susceptibility to drawdown risk and gaps between savings and life expectancy create an acute problem. The generation of income and preservation of capital in all types of markets becomes crucial. Combine this reality with record low bond yields and limited traditional sources of income from pension plans and one conclusion comes to mind: it is time for a new direction forward.

Guardian Directed Outcomes Solutions combine a select portfolio of global equities with a carefully constructed overlay of derivative strategies, managed by our experienced investment teams, to help investors achieve their goals.

Answering the Challenge

Guardian Directed Premium Yield ETF is an actively managed global equity strategy that primarily uses covered call options to help generate above-market income for distributions and reduce strategy volatility.

Three key attributes contribute towards achieving steadier returns over the investment horizon:



High, persistent annual income – monthly distribution targets at a 6% annual rate¹



Lower volatility through use of a covered call strategy



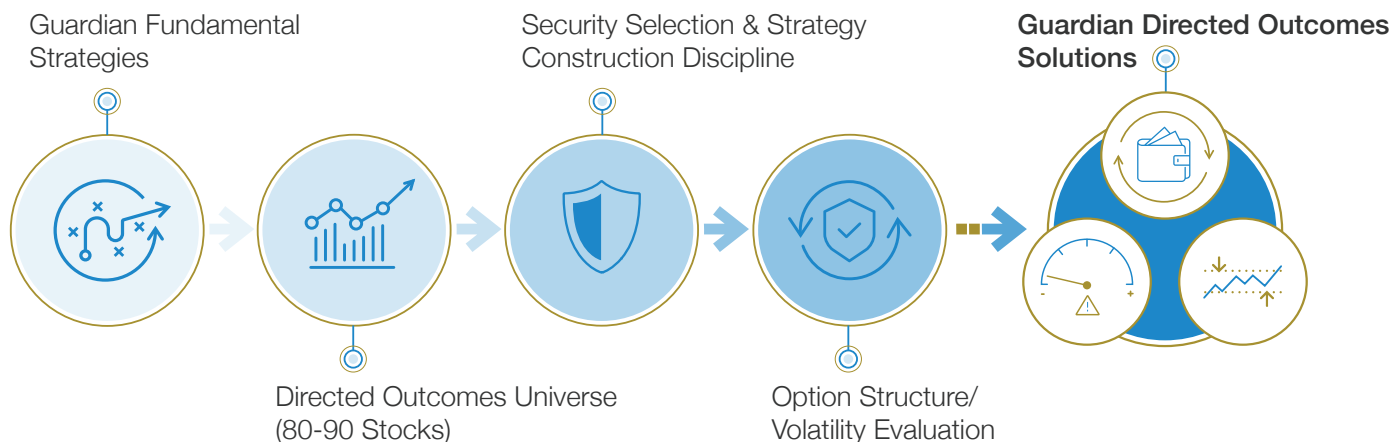
Active management that adjusts to prevailing market conditions and aims to maximize tax-efficient yield

With an annual 6% tax-efficient² distribution and exposure to high-quality global equities, Guardian Directed Premium Yield ETF supports the income and capital growth needs of investors, with the aim of lower volatility.

1. As disclosed in the ETF's prospectus, the ETF intend to make monthly distributions based on a targeted annualized monthly distribution of 6% of the NAV per Unit at the end of the prior year, which may be composed of income, capital gains or return of capital.

2. Distributions are expected to be primarily capital gains generated from option premiums and securities transactions.

Our Proprietary Investment Process



We start the strategy construction process with the highest conviction investment ideas from our fundamental investment management teams. The strategy uses equity holdings and their dividend income with an options overlay strategy, driven primarily by writing (selling) covered call options (but which may include other option strategies) to provide a 'premium' that aims to serve as a consistent stream of tax-efficient cash flow. Benefits accrue to investors from this higher income, lower volatility derivatives strategy, and continued exposure to global equities.

Results that Work

High Annual Income

6% targeted distribution yields¹ aim to provide tax-efficient² income alternatives for investors.

Lower Volatility

High-quality investments and the use of option overlays help to preserve capital.

Drawdown Risk

Reduced downside risk increases the probability of meeting retirement goals.

